

# Challenges in the Approval of Complex Otic & Ophthalmic Generic Products: Bioequivalence Perspectives

**SBIA 2021: Advancing Generic Drug Development: Translating Science to Approval**  
**Day 1, Session 3: (Complex Injectable, Ophthalmic and Otic Products Pt. 2)**

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# Learning Objectives

- Describe FDA's current thinking regarding in vitro bioequivalence (BE) determination for complex otic and ophthalmic drug products
- Discuss challenges with in vitro BE determination for complex otic and ophthalmic drug products

# Complex Otic and Ophthalmic Dosage Forms



- Suspensions & emulsions:
  - Suspension: a dispersion of a solid material (the dispersed phase) in a liquid (the continuous phase)
  - Emulsion: liquid disperse system consisting of at least two immiscible liquids (or two liquids that are saturated with each other)
- Ointment & gels:
  - Ointment: semisolid dosage form consisting of solid or semisolid hydrocarbon base of melting or softening point close to human body temperature
  - Gel: semisolid dosage form with gel-forming polymers

# Complex Otic and Ophthalmic Drug Products



Otic  
Suspension



Ophthalmic  
Suspension, Gel,  
Emulsion,  
Ointment, Gel  
Forming Solution



# Criteria to Qualify for the In Vitro Option for Otic/Ophthalmic Suspension/Gel Drug Products

- For most of these types of dosage forms, to qualify for the in vitro option under CFR 320.24(b)(6):
  - (1) Test and reference products are Q1(qualitatively) and Q2 (quantitatively) the same,
  - (2) The comparative physicochemical characterization of the test and reference products are acceptable, and
  - (3) The comparative in vitro drug release rates from the test and reference products are acceptable.

If any of these three criteria are not met, a comparative clinical endpoint study is needed.

- Certain drug characteristics may impact recommendations for BE determination, e.g., microbial kill rate studies



# Formulation (Q1/Q2) Sameness

(1) All inactive ingredients are the same as those in the reference listed drug (RLD): Q1 the same

(2) The difference in the amount of inactive ingredients between test and RLD are not more than 5%: Q2 the same

A test product that does not meet the above criteria would not be considered Q1/Q2 the same as the RLD.

# Compare Physicochemical Characteristics

- Number of batches- at least three batches each of test and RLD/Reference Standard (RS)
- Depending on the active pharmaceutical ingredients (APIs) and drug product, the following comparative studies may be recommended:
  - ✓ Polymorphic state/crystalline habit of insoluble APIs
  - ✓ Appearance, pH, specific gravity, osmolality, viscosity, surface tension, buffer capacity
  - ✓ Re-dispersibility of the final product
  - ✓ Soluble fraction of insoluble APIs in the final drug product
  - ✓ Unit dose content (per unit dose, for all APIs, a minimum of 10 units per batch, 3 batches each of T and R). The unit dose content should be compared using population BE (PBE)
  - ✓ Drug particle and particle size distribution (PSD, a minimum of 10 datasets per batch, 3 batches each of T and R), using PBE on D50 and SPAN (D90-D10)/D50 or polydispersity index

# Compare the Test Product to the RLD/RS

- Comparative in vitro drug release from the test and RLD/RS, evaluating the effect of manufacturing differences
- Comparative in vitro microbial kill rates of the test and RLD/RS formulations, if contains antibiotic components



# Case-1 Otic Suspension

- Comparative physicochemical characterization of the test and RLD/RS products
  - Pooled sampling strategy is not encouraged when single unit volume meets instrument requirement (e.g., osmolality and viscosity)
  - When pooled samples are used, provide justifications (e.g., volume requirement by instrument: PSD)
  - Method validation for all studies, e.g., precision, accuracy and robustness

# Case-1 Otic Suspension

- Request in vitro drug release testing (IVRT) for insoluble APIs in suspension dosage forms
  - For BE demonstration, the IVRT method can be different from QC methods, e.g., different USP apparatus
  - Complete method development/optimization and validation reports, e.g., selection of medium and USP apparatus, volume for dissolution condition, flow-rate, sample stability, membrane selection and recovery, test product with different particle sizes for discriminating ability
  - At least 12 units one batch each of test and RLD/RS

# Case-1 Otic Suspension



- Comparative microbial kill rate study for antibiotic containing drug product
  - Reference Draft PSG for Dexamethasone and Tobramycin Ophthalmic Suspension, e.g., organisms selection (USP <51> Antimicrobial Effectiveness Testing and “Indications” of the RLD labeling)
  - Method optimization/validation, e.g., dose selection (concentrations of drugs in media), antibiotic carryover, colony counts of organisms on survival media
  - Pivotal study, e.g., inappropriate dose, raw data of colony counts, statistical method

# Inappropriate Dose Selection for Microbial Kill Rate Study



	Test		RLD/RS	
Time (mins)	Organisms (cfu/mL)	% Reduction	Organisms (cfu/mL)	% Reduction
0	$4.5 \times 10^5$	-	$5.3 \times 10^5$	-
5	<10	100%	<10	100%
15	<10	100%	<10	100%
30	<10	100%	<10	100%
60	<10	100%	<10	100%
120	<10	100%	<10	100%

# Summary of Case-1 (Otic Suspension)

- Physicochemical characterization study
  - Pooling sample is not encouraged in general
  
- IVRT method optimization/validation
  - Apparatus selection
  - Medium selection
  - Discriminating ability
  
- Microbial kill rate study design
  - Dose selection
  - Statistical analysis method

# Case-2 Ophthalmic Gel

**Two options: in vitro or in vivo study**

**I. In vitro option:**

- i. Q1 and Q2 the same as the RLD
- ii. Physicochemical characteristics (Q3): Comparative appearance, pH, specific gravity, and osmolality, Comparative drug particle size distribution
- iii. Comparative IVRT

**II. In vivo option: BE study with Pharmacokinetic (PK) endpoints**

# Case-2 Ophthalmic Gel



- **Comparative Drug Particle Size Distribution (PSD)**
  - Method development, e.g., sample preparation (range of dilution) and instrument parameter for PSD
  - Method validation, e.g., invalid validation samples, etc.
  - BE determination: PBE based on D50 and SPAN with a minimum of 10 units per batch from 3 different batches of each T and R

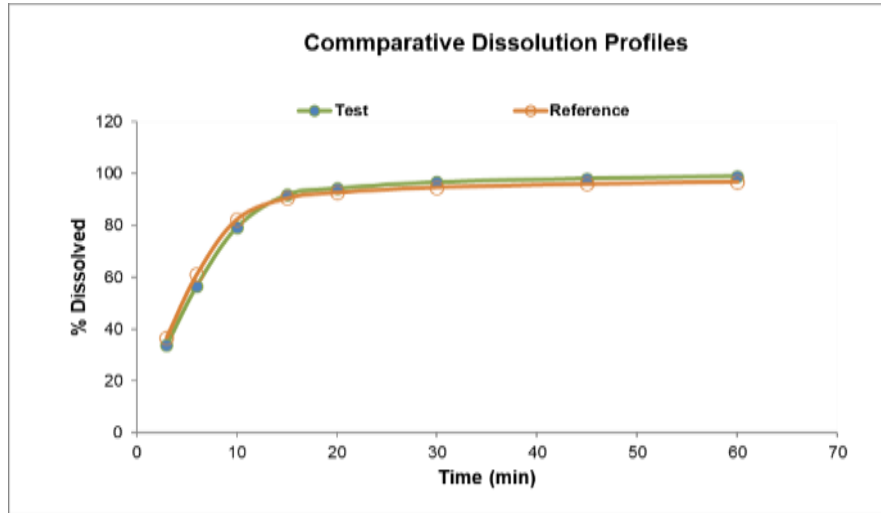
# Case-2 Ophthalmic Gel



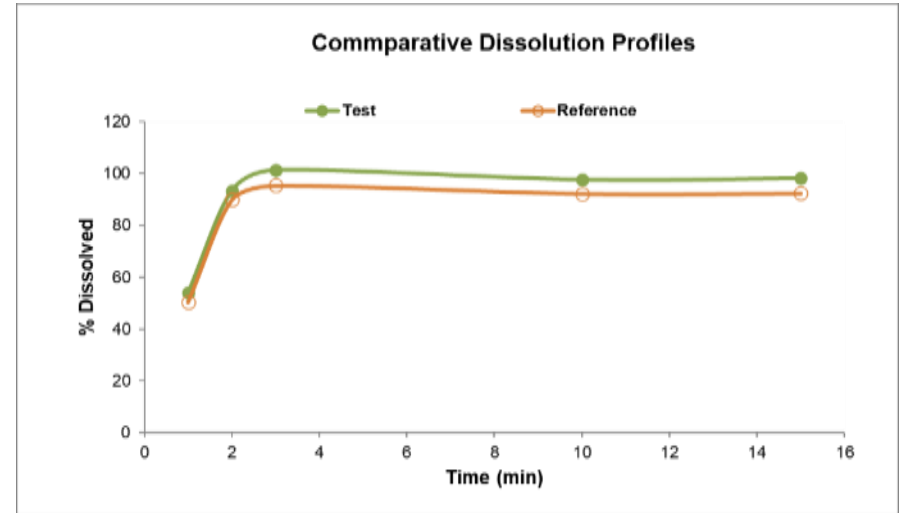
- **In Vitro Release Test (IVRT)**
  - Method development/optimization/validation, e.g., physiologically relevant medium, dissolution and analytical conditions, discriminating ability, etc.
  - Pivotal IVRT study, e.g., timepoints used for the f2 calculations, at least 12 units of one batch of freshly manufactured T and one batch of unexpired RLD, complete release profile ( $\geq 85\%$  mean), etc.



# Discriminating IVRT Method



Accepted for BE  
determination



Too rapid release, lack of  
discriminating ability

# Summary of Case-2 (Ophthalmic Gel)



- PSD study
  - Sample dilution range
  - Using valid samples for method validation
  - Sufficient number of replicates for PBE analysis
- IVRT Study
  - Method discriminating ability
  - Complete release by the last sampling time point
  - Explain timepoint used for f2 calculations

# Summary of The Two Cases

- Currently, for complex otic/ophthalmic suspensions/gels, the recommended in vitro option reflects FDA's current thinking for BE establishment
- Challenges:
  - PSD: method validation, sample preparation
  - IVRT: method discriminating ability, avoid very rapid release
  - Microbial kill rate study: dose selection, statistical method for BE determination

# Challenge Question #1

**Currently, the prerequisite for using in vitro options for BE demonstration is**

- A. The test formulation is exactly the same as the RLD
- B. The test product does not contain an inactive ingredient that is not contained in the RLD
- C. The test formulation is Q1 and Q2 the same as the RLD

# Challenge Question #2

**Currently, for particle size distribution study, the Agency recommends how many datasets each of the test and reference products for the PBE analysis:**

- A. A minimum of 10 datasets from each batch for 3 batches each of test and RLD/RS
- B. A minimum of 5 datasets from each batch for 3 batches each of test and RLD/RS

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# Questions?

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